

Members' Choice of New Pension Scheme for Private Schools Faculties

Risk Tolerance Questionnaire

A Basic Information	A-1 Name		A-2 Date of Birth	(YYYY)/ (MM)/ (DD)
	A-3 ID No.		A-4 Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female
	A-5 Telephone Number		A-6 Address	
	A-7 Financial Degree	<input type="checkbox"/> 1.Yes <input type="checkbox"/> 2.No	A-8 Finance Teacher	<input type="checkbox"/> 1.Yes <input type="checkbox"/> 2.No
	A-9 Education	<input type="checkbox"/> 1. Senior High School or below	<input type="checkbox"/> 2.College/University	<input type="checkbox"/> 3. Master's Degree Doctor's Degree
	A-10 Annual Personal Income	<input type="checkbox"/> 1.NT\$1 Million or below	<input type="checkbox"/> 2.NT\$ 1 -3 Million	<input type="checkbox"/> 3.NT\$ 3 Million or above
	A-11 Annual Household Income	<input type="checkbox"/> 1.NT\$1 Million or below	<input type="checkbox"/> 2.NT\$ 1 -3 Million	<input type="checkbox"/> 3.NT\$ 3 Million or above
B Financial Situation	B Amounts Contributed from Monthly Salary :			
	<input type="checkbox"/> 1.NT1,500 or below	<input type="checkbox"/> 2.NT1,500 - 3,000	<input type="checkbox"/> 3. NT3,000 or above	
C Investment Experience	C-1 Investment Experience :			
	<input type="checkbox"/> 1.None <input type="checkbox"/> 2.Less then 1 Year <input type="checkbox"/> 3.More than 5 Years			
	C-2 Investment Instruments (Multiple Choice):			
	<input type="checkbox"/> 1.None <input type="checkbox"/> 2.Mutual Funds / ETFs <input type="checkbox"/> 3.Dual Currency Deposits/Structured Deposits			
	<input type="checkbox"/> 4.Equities / Bonds <input type="checkbox"/> 5. Sophisticated Structured Products / Derivatives / Alternatives			
D Investment Objective	D Expected Range of Rate of Return:			
	<input type="checkbox"/> 1. -3% to 3% <input type="checkbox"/> 2. -6% to 6% <input type="checkbox"/> 3. More than -6% to 6%			
E Risk Tolerance	E-1 What are you most concerned about when you make investment?			
	<input type="checkbox"/> 1. I am only concerned about losses.			
	<input type="checkbox"/> 2. I am concerned about both profits and losses.			
	<input type="checkbox"/> 3. I am willing to assume losses in the progress of pursuing expected profits.			
	E-2 In order to achieve high returns, how much risk are you willing to take?			
<input type="checkbox"/> 1. I am not willing to take any risk.				
<input type="checkbox"/> 2. I am willing to take moderate risk.				
<input type="checkbox"/> 3. I am willing to take higher risk.				
E-3 Given that you have an investment with a cost of NT\$2.5 million and that its current valuation is NT\$5 million, what would you do if the price suddenly drops 10%?				
<input type="checkbox"/> 1. I am likely to sell all my investment holdings.				
<input type="checkbox"/> 2. I am likely to sell some of my investment holdings.				
<input type="checkbox"/> 3. Wait and see.				
E-4 What are your investment experience as well as your understanding of various investment products?				
<input type="checkbox"/> 1. I have never purchased any investment products; I have no experience in investment at all.				
<input type="checkbox"/> 2. I have purchased a few investment products; I have some experience in investment.				
<input type="checkbox"/> 3. I have purchased various investment products; I have good investment experience.				
E-5 How would you make investment if you can only choose from the following two investment products ?				
Product A: with an expected annual return of only 1.5%, but your principal can be guaranteed.				
Product B: with an expected annual return of 10%, but you may lose your principal.				
<input type="checkbox"/> 1. I will invest all my principal in Product A.				
<input type="checkbox"/> 2. I will invest 50% in Product A and another 50% in Product B.				
<input type="checkbox"/> 3. I will invest all my principal in Product B.				
F Expected Investment Period	F Expected Retirement Period :			
	<input type="checkbox"/> 1. Less than 5 years	<input type="checkbox"/> 2. 5-10 Years	<input type="checkbox"/> 3. 10-15 Years	<input type="checkbox"/> 4. 15 years or above

I hereby confirm that this Risk Tolerance Questionnaire is completed by myself personally and that this completed Questionnaire accurately represents my true intentions. I hereby understand that "Management Committee of Retirement, Compensation, Resignation and Severance Funds for Staff of Private Colleges and Schools of the Republic of China", "Chinatrust Commercial Bank" and "Franklin Templeton Investment." (collectively as "Information Collectors") may and are going to, for the purposed of performing their duties and obligations pursuant to the Acts Governing the Retirement, Pension, Resignation and Layoff of the Staffs of the Private Colleges and Schools and its related law and agreements within the period as stipulated by laws and the period during which the fact and legal relationship exist, in the form of writing, audio recording and/or electronic files and others, process, use and/or internationally transmit my personal information collected directly or indirectly by the Information Collectors, including providing my personal information to the necessary third parties subject to laws and regulations. I am entitled to make inquiry or request for a review of, to make duplicates of, to request of supplement or correction of, to discontinue the collection, processing or use of, or to make deletion of my personal information to or from the Information Collectors, but it is possible that the Information Collector may not be able to accept my request according to the laws.

Signature:

Date : (YYYY)/ (MM)/ (DD)

Members' Choice of New Pension Scheme for Private Schools Faculties

Risk Tolerance Assessment

Date : (YYYY)/ (MM)/ (DD)

1. Method

- Interview : _____
- Conversation with schools : _____
- Others : _____

Assessment personnel

2. Assessment

1. Investment ability of private schools faculties

- Excellent Satisfied Unsatisfactory Others : _____

2. Risk Tolerance of private schools faculties

- Conservative Stable Aggressive

※Comparison table of portfolios and investment instruments

Investment Instruments \ Portfolios	Conservative (Low Risk)	Stable (Meddle Risk)	Aggressive (High Risk)
Conservative	Yes	Yes	Yes
Stable		Yes	Yes
Growth			Yes
Aggressive			Yes
Very Aggressive	--	--	--

※Comparison table of portfolios and private schools faculties

Private schools faculties \ Portfolio	Conservative	Stable	Aggressive
Conservative (Low Risk)	Yes	Yes	Yes
Stable (Meddle Risk)		Yes	Yes
Aggressive (High Risk)			Yes

※Risk tolerance statement

Risk Appetite of private schools Faculties	Description
Conservative	You cannot take any risk at all and are suitable to invest in the conservative portfolio to secure your principal.
Stable	You can take few risks to gain stable earnings.
Aggressive	You can take higher risks to aggressively pursue profits.